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## **JAN 1 2 2007**

FAX TRANSMISSION

DATE:

January 12, 2007

PTO IDENTIFIER:

Application Number

09/942,426-Conf. #7416

Patent Number

inventor:

Peter R. Horsfall

**MESSAGE TO:** 

US Patent and Trademark Office

**FAX NUMBER:** 

(571) 273-8300

FROM:

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E3331.0525

PAGES (Including Cover Sheet): 19

CONTENTS:

One Month Request for Extension of Time Under 37 CFR 1.136(a) (1 page)

Amendment in Response to Non-Final Office Action (15 pages) Payment by credit card. Form PTO-2038 is attached (1 page)

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Amendment Transmittal Form (1 page)

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AMENDMENT TRANSMITTAL LETTER  Application No. O9/942,426-Conf. #7416  August 29, 2001  Invention: ELECTRONIC TRADING SYSTEM  TO THE COMMISSIONER FOR PATENTS  Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below.  CLAIMS AS AMENDED  CLAIMS AS AMENDED  CLAIMS AS AMENDED  CLAIMS AS AMENDED  Total Claims  Ramaning Antered Previously Extra Claims Rame Rate Previously Extra Claims Rate Prevent Rate Previously Extra Claims Rate Previously Extra Claims Rate Previously Extra Claims Rate Previously Extra Claims Rate Prevent Rate Rate Prevent Rate Prevent Rate Prevent Rate Prevent Rate Rate Rate Prevent Rate Rate Prevent Rate Rate Rate Rate Prevent Rate Rate Rate Rate Prevent Rate Rate Rate Rate Rate Rate Rate Rat						1 <u> 2   1   2   </u>		
Application No. 69/942,426-Conf. #7416 August 29, 2001 Olabode Akintola 3624  Applicani(s): Peter R. Horsfall  Invention: ELECTRONIC TRADING SYSTEM  TO THE COMMISSIONER FOR PATENTS  Transmitted herewith is an amendment in the above-identified application.  The fee has been calculated and is transmitted as shown below.  CLAIMS AS AMENDED    Claims   Remaining After Previous   Extra Claims Present   Number Paid   Present   Number Previous   Extra Claims   Present   Number   Numb	AMENDMENT TRANSMITTAL LETTER						1	
Applicant(s): Peter R. Horsfell  Invention: ELECTRONIC TRADING SYSTEM  TO THE COMMISSIONER FOR PATENTS  Transmitted herewith is an amendment in the above-identified application.  The fee has been calculated and is transmitted as shown below.  CLAIMS AS AMENDED    Remaining								
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Total Claims  23 - 20 = 3		Remaining After	Number Previously	Extra Claims	Rate			
Multiple Dependent Claims (check if applicable)  Other fee (please specify): Extension for response within first month \$120.00  TOTAL ADDITIONAL FEE FOR THIS AMENDMENT: \$670.00  X Large Entity Small Entity  No additional fee is required for this amendment.  Please charge Deposit Account No. 50-2215 in the amount of \$ A duplicate copy of this sheet is enclosed.  A check in the amount of \$ to cover the filling fee is enclosed.  X Payment by credit card. Form PTO-2038 is attached.  X The Director is hereby authorized to charge and credit Deposit Account No. 50-2215 as described below.  X Credit any overpayment.  X Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.  Josephy Ragusa  Attorree//Ageht Reg. No.: 38,666  DICKSTEIN SHAPIRO LLP 1177 Avenue of the Americas 41st Floor New York, New York 10036-2714 (212) 277-6584				***************************************		\$	150.00	
Other fee (please specify): Extension for response within first month  TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:  Large Entity  No additional fee is required for this amendment.  Please charge Deposit Account No. 50-2215 in the amount of \$ A duplicate copy of this sheet is enclosed.  A check in the amount of \$ to cover the filing fee is enclosed.  Payment by credit card. Form PTO-2038 is attached.  The Director is hereby authorized to charge and credit Deposit Account No. 50-2215 as described below.  Credit any overpayment.  Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.  Dated: January 12, 2007  Joseph W. Ragusa Attorrey/Ageht Reg. No.: 38,866  DIOUSTEIN SHAPIRO LLP 1177 Avenue of the Americas 41st Floor New York, New York 10036-2714 (212) 277-6584		6	- 4 =	2	x \$200.00	\$	400.00	
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:    Small Entity	Multiple Dependent Claims (check if applicable)							
No additional fee is required for this amendment.   Small Entity     No additional fee is required for this amendment.     Please charge Deposit Account No. 50-2215   in the amount of \$	Other fee (please specify): Extension for response within first month \$12							
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